

# The vanishing topology of ICMC2 singularities

SPP 1489 Abschlusstagung, 2016

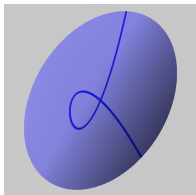
Anne Frühbis-Krüger

joint work with Matthias Zach, work in progress

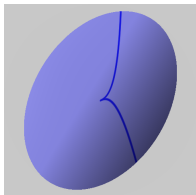
Institut für Algebraische Geometrie  
Leibniz Universität Hannover

Kaiserslautern, 12. Oktober 2016

# Some Hypersurface Singularities



$$V(y^2 - x^2 - x^3)$$



$$V(y^2 - x^5)$$

Betti numbers

A. Frühbis-Krüger

Singularities

Hypersurface  
Singularities

Tjurina Number  
The Milnor number

Determinantal  
Singularities

$\mu - \tau$

Relating ICMC2  
and ICIS  
singularities

# Some Hypersurface Singularities

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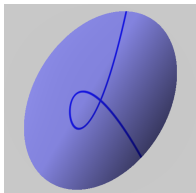
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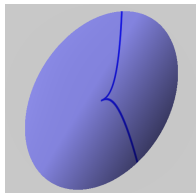
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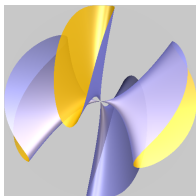
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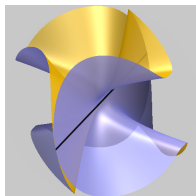
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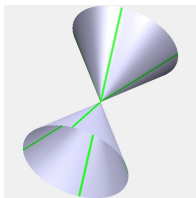


$$V(x^2 + y^4 - z^4 - 3x^2y^2)$$

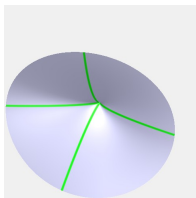


$$V(x^2 - y^4 + x^2z^4)$$

# Higher Codimension: Space Curves



$$V(xy, x^2 + y^2 - z^2)$$



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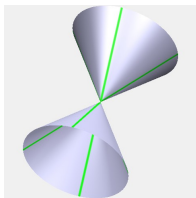
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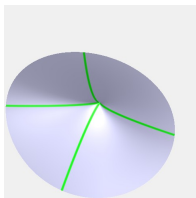
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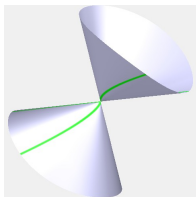
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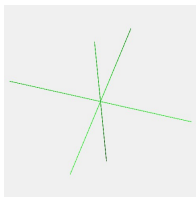
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$$V(xy, x^2 + y^2 - z^3)$$



$$V(x^2 - yz, z^3 - xy)$$



$$V(xy, xz, yz)$$

# Hypersurfaces, Complete Intersections ...

Hypersurface singularities:

$$(X, p) = (V(f), p) \subset (\mathbb{C}^n, p),$$

$$\dim(X) = n - 1$$

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$$(X, p) = (V(f), p) \subset (\mathbb{C}^n, p), \quad \dim(X) = n - 1$$

Complete intersections:

$$(X, p) = (V(f_1, \dots, f_k), p) \subset (\mathbb{C}^n, p), \\ \dim(X) = n - k$$

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**But on the previous slide:** 3 lines in  $\mathbb{C}^3$

$$\begin{aligned} X &= V(xy, xz, yz) \\ &= V(x, y) \cup V(x, z) \cup V(y, z) \subset \mathbb{C}^3 \end{aligned}$$



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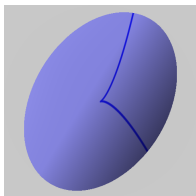
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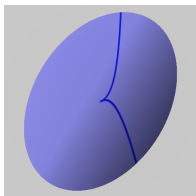
non-trivial relations:

$$\begin{aligned} z \cdot xy & - x \cdot yz &= 0 \\ z \cdot xy - y \cdot xz & &= 0 \end{aligned}$$

# Which singularity is 'less singular' ?



$$A_2 : y^2 - x^3 = 0$$



$$A_4 : y^2 - x^5 = 0$$

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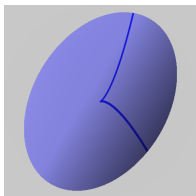
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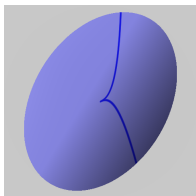
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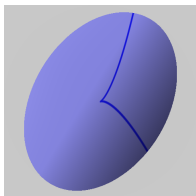


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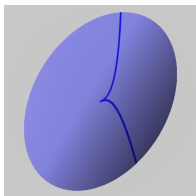
First idea of comparison: Consider ideals

$$\langle f \rangle, \langle \frac{\partial f}{\partial x}, \frac{\partial f}{\partial y} \rangle, \langle f, \frac{\partial f}{\partial x}, \frac{\partial f}{\partial y} \rangle \subset \mathbb{C}\{x, y\}$$

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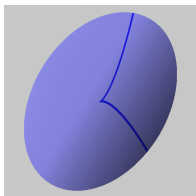
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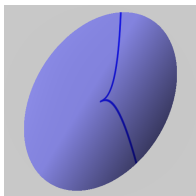
or the quotients

$$\mathbb{C}\{x, y\} / \langle f \rangle \text{ und } \mathbb{C}\{x, y\} / \langle f, \frac{\partial f}{\partial x}, \frac{\partial f}{\partial y} \rangle$$

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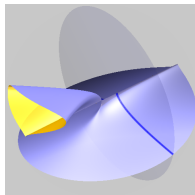
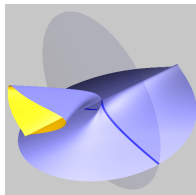
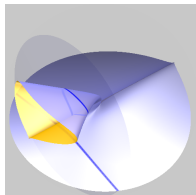
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Advantage: Use of tools of commutative algebra!

# Deformations in pictures

$$y^2 - x^5 - t \cdot x^3:$$



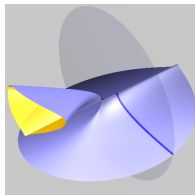
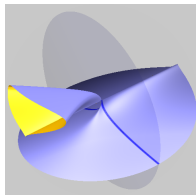
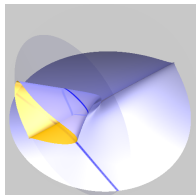
in blue: Fibre for  $t = -1$  ( $A_2$ ),  $t = 0$  ( $A_4$ ) and  $t = 1$  ( $A_2$ )

# Deformations in pictures

Betti numbers

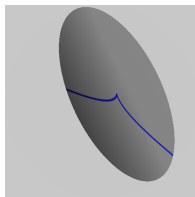
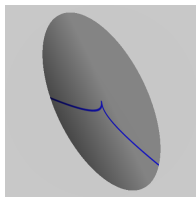
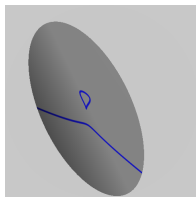
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in blue: Fibre for  $t = -1$  ( $A_2$ ),  $t = 0$  ( $A_4$ ) and  $t = 1$  ( $A_2$ )

and the fibres separately:



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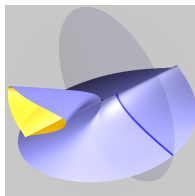
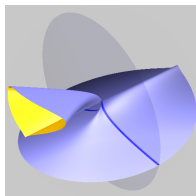
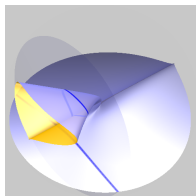
**Tjurina Number**  
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# Deformations – more formally



More generally:

A deformation of  $(X, 0)$  over a base  $(S, 0)$

$$\begin{array}{ccc} (X, 0) \hookrightarrow & \longrightarrow & (\mathcal{X}, 0) \\ \downarrow & & \downarrow \textit{flat} \\ \{0\} \hookrightarrow & \longrightarrow & (S, 0) \end{array}$$

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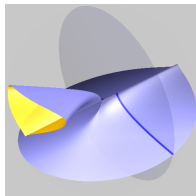
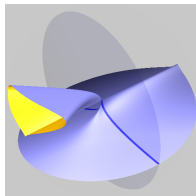
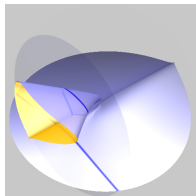
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Flatness: relation lifting property

# The Tjurina number

Given  $(X, 0)$  as special fibre:

- ▶ What singularities can appear in the family?
- ▶ Is there a family containing all of these?

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Tjurina algebra (hypersurface singularities):

$$T^1 = \mathbb{C}\{\underline{x}\} / \langle f, \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n} \rangle$$

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For isolated hypersurface singularities:

- ▶ perturbation terms  $\hat{=}$  monomial basis of  $T^1$
- ▶  $\tau := \dim_{\mathbb{C}} T^1$  (Tjurina number)

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simple := only finitely many types in versal family

# The Milnor number

Milnor-algebra of a hypersurface singularity  $(V(f), 0)$ :

$$M(f) := \mathbb{C}\{\underline{x}\} / \left\langle \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n} \right\rangle$$

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isol. sing at 0  $\iff \mu < \infty$

# The Milnor number

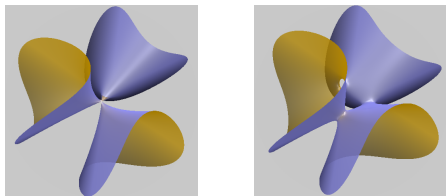
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isol. sing at 0  $\iff \mu < \infty$

geometric interpretation using a smoothing:



For complete intersections: Lê-Greuel Formel

topological type of a bouquet of  $\mu$  spheres of  $\dim(X)$ !

# Simple singularities

- ▶ hypersurface singularities: A-D-E singularities [Arnold, 1972]
- ▶ ICIS: fat points in the plane, space curves [Giusti, 1983]

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beginning of Arnold's classification:

curve	$A_1$	$A_2$	$A_3$ $y^2-x^4=0$	$A_4$	$D_4$ $x^3-y^3=0$
$\mu$	1	2	3	4	4
$\tau$	1	2	3	4	4

# Simple Cohen-Macaulay codimension 2 singularities (simple ICMC2)

Simple isolated, non-ICIS singularities [FK1999], [FK,Neumer2010]:

- ▶ fat points in the plane
- ▶ space curves
- ▶ normal surface germs in  $(\mathbb{C}^4, 0)$   
(coincides w. Tjurina's list of rational triple points)
- ▶ germs of 3-folds in  $(\mathbb{C}^5, 0)$
- ▶ germs of 4-folds in  $(\mathbb{C}^6, 0)$

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With exception of 4-folds: all smoothable.

# How to handle ICMC2 singularities

$(X, 0)$  ICMC2 germ,  $I = I(X)$

Known facts [Hilbert-Burch, Schaps]:

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Known facts [Hilbert-Burch, Schaps]:

- ▶ free resolution of the form

$$0 \longrightarrow \mathbb{C}\{\underline{x}\}^t \xrightarrow{M} \mathbb{C}\{\underline{x}\}^{t+1} \xrightarrow{I} \mathbb{C}\{\underline{x}\} \longrightarrow \mathbb{C}\{\underline{x}\}/I \longrightarrow 0$$

- ▶  $I$  generated by  $t \times t$  minors of  $M$



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- ▶  $I$  generated by  $t \times t$  minors of  $M$
- ▶ perturbations of  $M$  correspond to deformations of  $(X, 0)$

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Betti numbers

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The Milnor number

Determinantal  
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$\mu - \tau$

Relating ICMC2  
and ICIS  
singularities

$(X, 0)$  ICMC2 germ,  $I = I(X)$

Known facts [Hilbert-Burch, Schaps]:

- ▶ free resolution of the form

$$0 \longrightarrow \mathbb{C}\{\underline{x}\}^t \xrightarrow{M} \mathbb{C}\{\underline{x}\}^{t+1} \xrightarrow{I} \mathbb{C}\{\underline{x}\} \longrightarrow \mathbb{C}\{\underline{x}\}/I \longrightarrow 0$$

- ▶  $I$  generated by  $t \times t$  minors of  $M$
- ▶ perturbations of  $M$  correspond to deformations of  $(X, 0)$

special property of this case – Pinkham's example!

# Base of versal deformation

$$T_{X,0}^1 \cong \text{Mat}(t+1, t; \mathbb{C}\{\underline{x}\}) / (J_M + \text{Im}(g))$$

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where  $J_M$  generated by

$$\left( \begin{array}{ccc} \frac{\partial M_{11}}{\partial x_j} & \cdots & \frac{\partial M_{1t}}{\partial x_j} \\ \vdots & & \vdots \\ \frac{\partial M_{(t+1)1}}{\partial x_j} & \cdots & \frac{\partial M_{(t+1)t}}{\partial x_j} \end{array} \right) \quad \forall 1 \leq j \leq m$$

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and  $g$  the map

$$\begin{aligned} \text{Mat}(t+1, t+1; \mathbb{C}\{\underline{x}\}) \oplus \text{Mat}(t, t; \mathbb{C}\{\underline{x}\}) \\ \xrightarrow{g} \text{Mat}(t+1, t; \mathbb{C}\{\underline{x}\}) \end{aligned}$$

mapping  $(A, B) \mapsto AM + MB$ .

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# 'Milnor number' for determinantal singularities

For hypersurfaces and ICIS:  $\mu \geq \tau$

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- ▶ in general: no longer a bouquet of spheres

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- ▶ e.g. Betti numbers  $b_2$  and  $b_3$  may be non-zero for 3-folds

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Known results:

- ▶ isolated curve singularities
- ▶ isolated determinantal surface singularities  
[Ruas,daSilva Pereira 2014;  
Nuno-Ballesteros,Orefice-Okamoto, Tomazella 2013]

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- ▶ determinantal singularities: 'singular Milnor number' [Damon-Pike 2014]
- ▶ normal surface singularities [Wahl 2013]

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Relating ICMC2  
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For simple ICMC2 surfaces and bounding cases:

$$\tau - \mu = 1$$

[da Silva Pereira; Damon-Pike] by explicit computation,  
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Damon and Pike's Observation for 3-folds:

- ▶ negative 'Milnor numbers' occur  
but in computed examples: only  $-1$       Why?
- ▶ 'Milnor number' stays constant in some families with  
increasing Tjurina number

# A 3-fold example

family of simple isolated 3-fold singularities

$$\begin{pmatrix} w & y & x \\ z & w & y + v^k \end{pmatrix}$$

Tjurina number:  $\tau = 2k - 1$

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$$\begin{pmatrix} w & y & x \\ z & w & y + v^k \end{pmatrix}$$

Tjurina number:  $\tau = 2k - 1$

'Milnor number':  $\mu = -1$  (constant and negative!)

We know:  $\mu = b_3 - b_2$

( $b_1 = 0$  according to [Greuel-Steenbrink 1983])

# Tjurina modification I

Known construction for ICMC2 surface singularities  
[Tjurina 1968, van Straten 1987]

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Known construction for ICMC2 surface singularities  
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The setting:

Let  $V_1 \subset \mathbb{C}^{t(t+1)}$  the generic maximal minor determinantal singularity ( $t$  columns,  $t + 1$  rows).

Row vectors span  $t - 1$ -dimensional hyperplane  $P_A \subset \mathbb{C}^t$

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Row vectors span  $t - 1$ -dimensional hyperplane  $P_A \subset \mathbb{C}^t$   
 $\implies$  rational map:

$$\begin{aligned} P : V_r &\dashrightarrow \text{Grass}(t - 1, t) \\ A &\mapsto P_A \end{aligned}$$

# Tjurina modification II

Betti numbers

A. Frühbis-Krüger

resolving indeterminacy provides

$$\begin{array}{ccc} W_1 & & \\ \downarrow \pi & \searrow \hat{P} & \\ V_1 & \dashrightarrow^P & \text{Grass}(t-1, t) \end{array}$$

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# Tjurina modification II

resolving indeterminacy provides

$$\begin{array}{ccc}
 W_1 & & \\
 \downarrow \pi & \searrow \hat{P} & \\
 V_1 & \xrightarrow{P} & \text{Grass}(t-1, t)
 \end{array}$$

Now considering  $M$  as a map from a given ICMC2  $X_0$  into  $V_1$ :

$$\begin{array}{ccccc}
 Y_0 := X_0 \times_{V_1} W_1 & \xrightarrow{\hat{M}} & W_1 & & (1) \\
 \pi \downarrow & & \rho \downarrow & \searrow \hat{P} & \\
 X_0 & \xrightarrow{M} & V_1 & \xrightarrow{P} & \mathbb{P}^r
 \end{array}$$

# Tjurina modification III

Equations for  $Y_0$ :

$$M \cdot \begin{pmatrix} s_1 \\ \vdots \\ s_t \end{pmatrix} = 0$$

locally complete intersection

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# Tjurina modification III

Equations for  $Y_0$ :

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locally complete intersection

Construction also works in family:

$$\begin{array}{ccccc}
 X_0 \times_{V_1} W_1 & \hookrightarrow & X \times_{V_1} W_1 & \xrightarrow{\hat{M}} & W_1 & & (2) \\
 \pi_0 \downarrow & & \pi \downarrow & & \rho \downarrow & \searrow \hat{P} & \\
 X_0 & \hookrightarrow & X & \xrightarrow{M} & V_1 & \xrightarrow{P} & \mathbb{P}^r \\
 \downarrow & & \varepsilon \downarrow & & & & \\
 \{0\} & \hookrightarrow & \mathbb{C} & & & & 
 \end{array}$$

$\pi$  is an isomorphism for non-singular fibres.



# The structure of $Y_0$ for $t = 2$

Exceptional locus is a  $\mathbb{P}^1$ .

Two situations can occur:

(A)  $Y_0$  has only ICIS (at fin. many points of  $\mathbb{P}^1$ ) iff

$$M \sim \begin{pmatrix} * & x_1 \\ * & x_2 \\ * & x_3 \end{pmatrix}$$

(B)  $Y_0$  is singular along the whole  $\mathbb{P}^1$  otherwise

# The topology of the Milnor fibre, case (A)

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## Theorem (FK,Zach 2015)

*Given a smoothing  $X_0 \hookrightarrow X \xrightarrow{\varepsilon} \mathbb{C}$  of an ICMC2 singularity in  $(\mathbb{C}^5, 0)$  and a Tjurina modification as above in case (A), the Betti numbers of the Milnor fibre are given by*

$$b_0 = 1, \quad b_1 = 0, \quad b_2 = 1, \quad b_3 = r$$

*where  $r \in \mathbb{N}$  is the sum of the Milnor numbers of the ICIS of  $Y_0$ .*

# The topology of the Milnor fibre, case (A)

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*analogously  $b_2 = b_2(Y) + 1$  for surfaces in  $(\mathbb{C}^4, 0)$ .*

# The topology of the Milnor fibre, case (B)

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## Theorem (Zach, 2016)

*Given a smoothing  $X_0 \hookrightarrow X \xrightarrow{\varepsilon} \mathbb{C}$  of an ICMC2 singularity in  $(\mathbb{C}^5, 0)$  and a Tjurina modification as above in case (B), the second Betti number of the Milnor fibre is 1.*

analogously:  $b_2 = \mu_Y + 1$  for surfaces in  $(\mathbb{C}^4, 0)$ .

- ▶ non-isolated singularity along a  $\mathbb{P}^1$
- ▶ transversal type of this singularity relevant
- ▶ contribution by 'vertical monodromy'
- ▶ further 'worse' singularities may sit at points of the  $\mathbb{P}^1$